



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

June 14, 2019

Mr. Kyle Smith
Broadridge Business Process Outsourcing, LLC
2 Gateway Center
Newark NJ 07102

Reference: **FR2019-0612-0046/E**

Org Id: 114212

1. 529 FAQs (III) (#529 FAQS III)
Rule: FIN 2210
10 Pages

Total Fee: \$125

Dear Mr. Smith,

Our review is based on the understanding that in its final form, this communication will prominently disclose the name of the member, pursuant to FINRA Rule 2210(d)(3), as represented by your firm in the explanation uploaded with this submission.

The above-referenced communication appears consistent with applicable standards.

Reviewed by,

Kristina B. Shaw
Associate Principal Analyst

kbs

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Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.