FINRA

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

December 18, 2025

Kyle Smith
BROADRIDGE BUSINESS PROCESS OUTSOURCING, LLC

Reference: **FX2025-1216-0042/E** Org Id: 114212

1. Broadridge Newsletter: February 2026 - Income; Penny; FinWell; KeyNum; TxSS; EndowTx; Roadmp (BroadridgeNewsletter0226)
   Rule: FIN 2210
   7 Pages Total Fee: $600

Dear Kyle Smith,

Our review is based on the understanding that in its final form, this communication will prominently disclose the name of the member, pursuant to FINRA Rule 2210(d)(3), as represented by your firm in the explanation uploaded with this submission.

In light of the above, the material generally appears consistent with applicable standards.

Please feel free to call me at 240-386-4500 if you have questions about the comment above.
Reviewed by,

Thomas G. Dineen, III
Principal Analyst

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

Advertising Regulation
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NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*

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