FINRA

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

April 24, 2026

Kyle Smith
BROADRIDGE BUSINESS PROCESS OUTSOURCING, LLC

Reference: **FX2026-0421-0078/E** Org Id: 114212

1. Broadridge Newsletter: June 2026 -Pay; CarLn; HousMkt; ValInv; SpousTx; Midyear; TeenJob (BroadridgeNewsletter0626)
   Rule: FIN 2210
   7 Pages Total Fee: $600

Dear Kyle Smith,

The material submitted generally appears consistent with applicable standards. However, we note the following comment.

We understand from a note in the "How Communication Will Be Used" section of the filing that the name of the FINRA member firm will be prominently displayed whenever this brochure is used, pursuant to FINRA Rule 2210(d)(3).

Please feel free to call me at 240-386-4500 if you have questions about the comment above.
Reviewed by,

Thomas G. Dineen, III
Principal Analyst

This year's Advertising Regulation Conference will be held October 15-16 in Washington, D.C. For more information and to register, please visit our website at: https://www.finra.org/events-training/conferences-events/2026-finra-advertising-regulation-conference

Please be advised that the Advertising Regulation Electronic Filing (AREF) application is being modernized as part of FINRA Forward. The transition to the updated platform is anticipated in mid-May 2026. Resources to assist your firm with the transition, including FAQs and a navigation guide, will be made available on Advertising Regulation's FINRA.org in advance of go-live. No action is required at this time.

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Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*

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