FINRA

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

July 24, 2026

James Port
BROADRIDGE BUSINESS PROCESS OUTSOURCING, LLC

Reference: **FX2026-0722-0114/E** Org Id: 114212

1. Broadridge Newsletter: Setpember 2026 - LifeIns; School; Index; TaxMov; Storm; Roth5; TeachTx (BroadridgeNewsletter0926)
   Rule: FIN 2210
   7 Pages Total Fee: $600

Dear James Port,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Thomas G. Dineen, III
Principal Analyst

This year's Advertising Regulation Conference will be held October 15-16 in Washington, D.C. For more information and to register, please visit our website at: https://www.finra.org/events-training/conferences-events/2026-finra-advertising-regulation-conference

NOTE: Our review is limited to the communication as submitted and does not extend to any hyperlinks, QR codes or references to other content. In our review, we assume that all statements, claims, and representations in the communication are accurate and can be substantiated.

You may state that the communication was "reviewed by FINRA" or "FINRA reviewed," but you may not state that it was FINRA approved.

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