Financial Industry Regulatory Authority

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

July 20, 2021

Mr. Kyle Smith
Broadridge Business Process Outsourcing, LLC
2 Gateway Center
Newark NJ 07102

Reference: **FR2021-0621-0103/E** Org Id: 114212

1. Women and Estate Planning Basics (#TP-ES-18)
   Rule: FIN 2210
   2 Pages Total Fee: $125

Dear Mr. Smith,

Our review is based on the understanding, as represented by your firm, that in its final form, this communication will prominently disclose the name of the member, pursuant to FINRA Rule 2210(d)(3).

Please note that our review does not pertain to the accuracy of the legal discussion included in this material. It remains the firm's responsibility to ensure that this material includes a complete and accurate discussion of all relevant legal issues.

The submitted communication appears consistent with applicable standards.

Reviewed by,

Jeffrey R. Salisbury
Principal Analyst

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*

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