Financial Industry Regulatory Authority

December 18, 2013

James Port
Broadridge Business Process Outsourcing, LLC
2 Journal Square Plaza
Jersey City NJ 07306

Reference: **FR2013-1206-0159/E** Org Id :114212

REVIEW LETTER

1. Sudden Wealth (#SS-Core-10)
   Rule: FIN 2210
   2 pages Fee: $125

Total Fee: $125

Attention: James Port

Our review is based on the understanding that in its final form, this communication will prominently disclose the name of the member, pursuant to FINRA Rule 2210(d)(3) as represented by your firm in the explanation uploaded with this submission.

The above-referenced material appears consistent with applicable standards.

Reviewed by,

Stacy M. Bryant
Senior Analyst

jb

NOTE: *This review is limited to the communication that was filed. We assume that the communication does not omit material facts, contain statements that are not factual, or offer opinions that do not have a reasonable basis. This communication may be described as "Reviewed by FINRA" or "FINRA Reviewed"; however, there must be no statement or implication that this communication has been approved by FINRA.*

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